 <p>SEEC SEATTLE ETHICS & ELECTIONS COMMISSION</p>	File with: Seattle City Clerk PO BOX 94728 Seattle, WA 98124-4728 Questions: (206) 684-8500 (206) 615-1248 polly.grow@seattle.gov	SEEC FORM F-1 (7/18)	SEEC DOLLAR CODE (1) \$0 -- \$999 (2) \$1,000 -- \$4,999 (3) \$5,000 -- \$9,999 (4) \$10,000 -- \$24,999 (5) \$25,000 -- \$99,999 (6) \$100,000 -- \$199,999 (7) \$200,000 -- \$999,999 (8) \$1,000,000 -- \$4,999,999 (9) \$5,000,000 or more	PERSONAL FINANCIAL AFFAIRS STATEMENT <div style="text-align: right; font-size: x-small;"> 19 FEB 19 11 10 AM CITY OF SEATTLE F1 </div>
Deadlines: Incumbent elected and appointed officials -- by April 15. Candidates and others -- within two weeks of becoming a candidate or being newly appointed to a position.				
SEND REPORT TO Seattle City Clerk				
"immediate family" means: (a) a spouse or domestic partner, or (b) a parent, parent of a spouse or domestic partner, child, child of spouse or domestic partner, sibling, uncle, aunt, cousin, niece or nephew, if that person either resides with or is a dependent on the Covered Individual's most recently filed federal income tax return. SMC 4.16.080				
Last Name <u>Fathi</u> First <u>Daniel</u> Middle Initial <u>J</u>			Names of immediate family members. If there is no reportable information to disclose for dependent children, or other dependents living in your household, do not identify them. Do identify your spouse or domestic partner. <u>Joelle Thirsk Fathi</u>	
Mailing Address (Use PO Box or Work Address) * <u>119 1st Ave S #320</u>				
City <u>Seattle</u> County <u>King</u> Zip + 4 <u>98104</u>				
Filing Status (Check only one box.) <input type="checkbox"/> An elected or appointed official filing annual report <input type="checkbox"/> Final report as an elected official. Term expired: _____ <input checked="" type="checkbox"/> Candidate running in an election: month <u>August</u> year <u>2019</u> <input type="checkbox"/> Newly appointed to an elective office			Office Held or Sought Office title: <u>City Council Member</u> Position number: <u>6</u> Term begins: <u>11/1/2020</u> ends: <u>12/31/2023</u>	
1 INCOME List each employer, or other source of income (pension, social security, legal judgment, etc.) from which you or an immediate family member, received compensation, in any form, of \$2,400 or more during the period. Include stock options received during the reporting period that had a value of more than \$2,400. (Report interest and dividends in Item 3.)				
Show Self (S) Spouse (SP/DP) Dependent (D)	Name and Address of Employer or Source of Compensation	Occupation or How Compensation Was Earned	Amount: (Use Code)	
<u>S</u>	<u>Swedish Health Services PO Box 389673 Seattle WA 98138</u>	<u>Physician</u>	<u>(3)</u>	
<u>S</u>	<u>Fathi Consulting LLC 1420 5th Ave #3000 Seattle 98101</u>	<u>Consultant</u>	<u>(4)</u>	
<u>S</u>	<u>Contene Management Co. LLC 7700 Forsyth Blvd. St. Louis MO 63105</u>	<u>Severance</u>	<u>(5)</u>	
<u>Sp</u>	<u>University of WA 4333 Brooklyn Ave NE Seattle WA #0-2 98195</u>	<u>Faculty</u>	<u>(4)</u>	
Check Here <input checked="" type="checkbox"/> if continued on attached sheet				
2 REAL ESTATE List street address, assessor's parcel number, or legal description AND county for each parcel of Washington real estate with value of over \$12,000 in which you or an immediate family member held a personal financial interest during the reporting period. (Show partnership, company, etc. real estate on F-1 supplement.)				
Property Sold or Interest Divested	Assessed Value (Use 1-9 Code) () () ()	Name and Address of Purchaser	Nature and Amount (Use Code) of Payment or Consideration Received () ()	
Property Purchased or Interest Acquired <u>106 N. 42nd Seattle Wa. KING 98103</u>	(7) () ()	Creditor's Name/Address <u>Caliber Home Loans PO Box 619063 Dallas, TX 75261</u>	Payment Terms (eg. 20 yrs at 4.3%) <u>30 yrs 4.875%</u>	Security Given Mortgage Amount - (Use Code) Original Current <u>(7) (7)</u> <u>() ()</u>
All Other Property Entirely or Partially Owned <u>4210 1st Ave NW Seattle Wa. KING 98107</u>	(8) () ()	Ditech <u>PO Box 6172 Rapid City, SD 57709</u>	18 yrs <u>3.375%</u>	<u>(7) (7)</u> <u>() ()</u>
Check here <input type="checkbox"/> if continued on attached sheet				

CONTINUE ON NEXT PAGE

3

ASSETS / INVESTMENTS - INTEREST / DIVIDENDS

List bank and savings accounts, insurance policies, stock, bonds and other intangible property (including but not limited to stock options) held during the reporting period.

A. Name and address of each bank or financial institution in which you or an immediate family member had an account over \$24,000 at any time during the report period.	Type of Account or Description of Asset	Asset Value (Use 1-9 Code)	Income Amount (Use 1-9 Code)
B. Name and address of each insurance company where you or an immediate family member had a policy with a cash or loan value over \$24,000 during the period.	Bank of America 100 N. Tryon St Charlotte NC 28255 Checking Act	(7)	()
C. Name and address of each company, association, government agency, etc. in which you or an immediate family member, owned or had a financial interest worth over \$2,400. Include stocks, bonds, ownership, retirement plan, IRA, notes, stock options, and other intangible property. If you or your immediate family member had decision making authority regarding individual assets/investments list each asset or investment, the value and any income amount. EXAMPLE: If you self-directed an investment account identify each stock or other asset in that account. Stock shall be reported by market value at the time of reporting.	Capital One 1680 Capital One Dr. McLean VA 22102 Money Mkt	(7)	()
	Fidelity 100 Crispy Penny KAH Covington, KY 40015 Money Mkt	(7)	()
	Axa PO Box 1047 Charlotte NC 28201. Variable Life Ins	(5)	()
	Fidelity 100 Crispy Penny KAH Covington, KY 40015 Common Stock - Centene	(8)	()

Check here ☒ if continued on attached sheet.

4

CREDITORS

List each creditor you or an immediate family member owed \$2,400 or more any time during the period. Don't include retail charge accounts, credit cards, or mortgages or real estate reported in Item 2.

AMOUNT
(USE 1-9 CODE)

Creditor's Name and Address	Terms of Payment (eg. 6 years at 5.25%)	Security Given	original ()	current ()
			()	()

Check here ☐ if continued on attached sheet.

5

NET WORTH

Enter your estimated net worth.

Enter Dollar Amount

\$ 5,500,000

6

All filers answer questions A thru D below. If the answer is YES to any of these questions, the F-1 Supplement must also be completed as part of this report. If all answers are NO and you are a candidate or an appointee to a vacant elective office filing your initial report, no F-1 Supplement is required.

Incumbent elected officials filing an annual financial affairs report also must answer question E. An F-1 Supplement is required of these officeholders unless all answers to questions A thru E are NO.

- A. At any time during the reporting period were you and/or an immediate family member (1) an officer, director, general partner or trustee of any corporation, company, union, association, joint venture or other entity or (2) a partner or member of any limited partnership, limited liability partnership, limited liability company or similar entity including but not limited to a professional limited liability company? YES If yes, complete Supplement, Part A.
- B. Did you and/or an immediate family member have an ownership of 10% or more in any company, corporation, partnership, joint venture or other business at any time during the reporting period? YES If yes, complete Supplement, Part A.
- C. Did you and/or an immediate family member own a business at any time during the reporting period? YES If yes, complete Supplement, Part A.
- D. Did you and/or an immediate family member prepare, promote or oppose state legislation, rules, rates or standards for compensation or deferred compensation (other than pay for a currently-held public office) at any time during the reporting period? NO If yes, complete Supplement, Part B.
- E. **Only for Persons Filing Annual Report.** Regarding the receipt of items not provided or paid for by your governmental agency during the previous calendar year: 1) Did you, and/or an immediate family member accept a gift of food or beverages costing over \$50 per occasion? ____ or 2) Did any source other than your governmental agency provide or pay in whole or in part for you and/or an immediate family member to travel or to attend a seminar or other training? ____ If yes to either or both questions, complete Supplement, Part C.

ALL FILERS EXCEPT CANDIDATES. Check the appropriate box.

- ☐ I hold a local elected office. I have read and am familiar with SMC 2.04.300 regarding the use of public facilities in campaigns.

Contact Telephone: (206) 979-1140 *

Email: Djayfathio@gmail.com (work) *

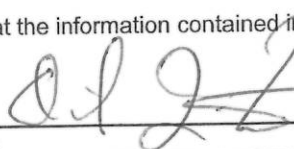
Email: " " (Home) Optional

CERTIFICATION: I certify under penalty of perjury that the information contained in this report is true and correct to the best of my knowledge.

Date

2/16/19

Signature



*CANDIDATES: Do not use public agency addresses or telephone numbers for contact information. Report Not Acceptable Without Filer's Signature

1. (continued)

Spouse: WA State Nurses Assoc, 575 Andover Pkwy W #101 Seattle WA 98188
Director of Nursing Practice

(5)

Spouse: Fathi Consulting LLC 1420 5th Av #3000 Seattle WA 98101
Consultant

(5)


3 (continued).

My wife and I each have retirement accounts, and a joint taxable investment account, and an educational savings account for one of our sons, through Charles Schwab. It is managed by Auxano Advisors, 10900 NE 4th St Ste. 1950, Bellevue, WA 98004. My understanding is these are essentially mutual funds, each consisting of several different stocks, which also change over time. Our financial advisor has decision making authority regarding the assets and investments. If we need to supply additional detailed information, we can provide the complete list of stocks, bonds, and mutual funds.

(8)

DreamAhead College Investment Plans for Peter D. Fathi and Thomas Fathi, BNY Mellon, 240 Greenwich Street, New York, NY, 10286

(6)


Daniel J. Fathi
2/16/19



File with: Seattle City Clerk
PO BOX 94728
Seattle, WA 98124-4728
Questions: (206) 684-8500
(206) 615-1248
Polly.Grow@Seattle.gov

SEEC FORM
F-1
SUPPLEMENT
(7/18)

SUPPLEMENT PAGE

PERSONAL FINANCIAL AFFAIRS STATEMENT

PROVIDE INFORMATION FOR YOU AND ANY IMMEDIATE FAMILY MEMBERS

Last Name <i>Fathi</i>	First <i>Daniel</i>	Middle Initial <i>J</i>	DATE <i>2/16/19</i>
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A

OFFICE HELD, BUSINESS INTERESTS:

Provide the following information if, during the reporting period, you or any immediate family member

- (1) were an officer, director, general partner, trustee, or 10 percent or more owner of a corporation, non-profit organization, union, partnership, joint venture or other entity; and/or
 - (2) were a partner or member of a limited partnership, limited liability partnership, limited liability company or similar entity, including but not limited to a professional limited liability company.
- Legal Name: Report name used on legal documents establishing the entity.
 - Trade or Operating Name: Report name used for business purposes if different from the legal name.
 - Position or Percent of Ownership: The office, title and/or percent of ownership held.
 - Brief Description of the Business/Organization: Report the purpose, product(s), and/or the service(s) rendered.
 - Payments from Governmental Unit: If the governmental unit in which you hold or seek office made payments to the business entity concerning which you're reporting, show the purpose of each payment and the actual amount received.
 - Payments from Business Customers and Other Government Agencies: List each corporation, partnership, joint venture, sole proprietorship, union, association, business or other commercial entity and each government agency (other than the one you seek/hold office) which paid compensation of \$12,000 or more during the period to the entity. Briefly say what property, goods, services or other consideration was given or performed for the compensation.
 - Washington Real Estate: Identify real estate owned by the business entity if the qualifications referenced below are met.

ENTITY NO. 1

Reporting For: Self ☒ Spouse ☒
Registered Domestic Partner ☐ Dependent ☐

LEGAL NAME: *Fathi Consulting LLC*

POSITION OR PERCENT OF OWNERSHIP

100% Owned by Daniel Jay Fathi and Jelle Thirsk Fathi. Each person is a managing director.

TRADE OR OPERATING NAME: *Same*

ADDRESS: *1420 5th Av. #3000 Seattle Wa. 98101*

BRIEF DESCRIPTION OF THE BUSINESS/ORGANIZATION:

Provides Healthcare Consulting

PAYMENTS ENTITY RECEIVED FROM GOVERNMENTAL UNIT IN WHICH YOU SEEK/HOLD OFFICE:

Purpose of payments

X

Amount (actual dollars)

\$

PAYMENTS ENTITY RECEIVED FROM OTHER GOVERNMENT AGENCIES OF \$12,000 OR MORE:

Agency name:

X

Purpose of payment (amount not required)

PAYMENTS ENTITY RECEIVED FROM BUSINESS CUSTOMERS OF \$12,000 OR MORE

Customer name:

*Excelsior Youth Services
WA State Nurses Assoc.
North Point Academy*

Purpose of payment (amount not required)

Healthcare Consulting

WASHINGTON REAL ESTATE IN WHICH ENTITY HELD A DIRECT FINANCIAL INTEREST (Complete only if ownership in the ENTITY is 10% or more and assessed value of property is over \$24,000. List street address, assessor parcel number, or legal description and county for each parcel):

Check here ☐ if continued on attached sheet

CONTINUE PARTS B AND C ON NEXT PAGE

Name

ENTITY NO. 2

Reporting For: Self ☐ Spouse ☐Registered Domestic Partner ☐ Dependent ☐

LEGAL NAME:

POSITION OR PERCENT OF OWNERSHIP

TRADE OR OPERATING NAME:

ADDRESS:

BRIEF DESCRIPTION OF THE BUSINESS/ORGANIZATION:

PAYMENTS ENTITY RECEIVED FROM GOVERNMENTAL UNIT IN WHICH YOU SEEK/HOLD OFFICE:

Purpose of payments

Amount (actual dollars)

\$

PAYMENTS ENTITY RECEIVED FROM OTHER GOVERNMENT AGENCIES OF \$12,000 OR MORE:

Agency name:

Purpose of payment (amount not required)

PAYMENTS ENTITY RECEIVED FROM BUSINESS CUSTOMERS OF \$12,000 OR MORE

Customer name:

Purpose of payment (amount not required)

WASHINGTON REAL ESTATE IN WHICH ENTITY HELD A DIRECT FINANCIAL INTEREST (Complete only if ownership in the ENTITY is 10% or more and assessed value of property is over \$24,000. List street address, assessor parcel number, or legal description and county for each parcel):

Check here ☐ if continued on attached sheet**B****LOBBYING:**

List persons for whom you, or any immediate family member, lobbied or prepared state legislation or state rules, rates, or standards for compensation or deferred compensation. Do not list pay from government body in which you are an elected official or professional staff member.

Person to Whom Services Rendered

Description of Legislation, Rules, Etc.

Compensation (Use Code 1-9)

()

()

()

Check here ☐ if continued on attached sheet**C****FOOD
TRAVEL
SEMINARS**

Complete this section if a source other than your own governmental agency paid for or otherwise provided all or a portion of the following items to you, your spouse, registered domestic partner or dependents, or a combination thereof: 1) Food and beverages costing over \$50 per occasion; 2) Travel occasions; or 3) Seminars, educational programs or other training.

Date
Received

Donor's Name, City and State

Brief Description

Actual Dollar
AmountValue
(Use Code 1-9)

\$

()

()

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Check here ☐ if continued on attached sheet



Daniel Fathi
4210 1st Ave NW
Seattle, WA 98107

FILED
CITY OF SEATTLE
19 FEB 19 AM 10:44
CITY CLERK

Seattle City Clerk
P.O. Box 94728
Seattle, Wa.

98124-4728

98124-4728



SEATTLE
WA 98107
15 FEB '19
PM 5 L



FOREVER
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